

# COVER SHEET FOR AMENDMENT OF POST-TRAVEL SUBMISSION

RECEIVED  
SECRETARY OF THE SENATE  
PUBLIC RECORDS  
2017 NOV -1 PM 5:01

**Instructions:** Use this form as a cover sheet for any paperwork you may need to submit to the Office of Public Records in order to make your Privately Sponsored Post-Travel Submission complete in accordance with Rule 35. Only complete this form if you need to submit an amendment to a post-travel filing you have already submitted.

**SUBMIT DIRECTLY TO THE OFFICE OF PUBLIC RECORDS IN 232 HART BUILDING**

Name of Traveler: Senator Richard Durbin

Employing Office/Committee: Durbin

Private Sponsor(s) (List all): The Aspen Institute

Travel Date(s): August 10-15, 2017

Description/Title of Attached Forms: Amended RE-3 Form; PSTCF (final version)

Purpose of Amendment (describe the reason for amending original submission): Initial post-travel submission  
must be revised with the Office of Public Records in SH-232.

11/1/2017  
(Date)

  
(Signature of Traveler)

821600009128

RECEIVED  
SECRETARY OF THE SENATE  
PUBLIC RECORDS

~~2017 OCT 24 PM 12:24~~

In compliance with Rule 35.2(a) and (c), I Sen. Richard Durbin, make the following  
(Name of Senator/Officer)

Private Sponsor(s) (list all): The Aspen Institute, Inc. (Congressional Program)

Travel date(s): ~~August 9, 2017-August 15, 2017~~ August 10, 2017-August 15, 2017

Destination(s): Oslo, Norway

Name of accompanying family member (if any): Loretta Durbin

Relationship to Member/Officer: ☒ Spouse ☐ Child

FILL IN THE APPROPRIATE LINES. IF THE COST OF LODGING DID NOT INCREASE DUE TO THE ACCOMPANYING SPOUSE OR DEPENDENT CHILD, ONLY INCLUDE LODGING COSTS IN EMPLOYEE EXPENSES. (Attach additional pages if necessary.)

**Expenses for Senator/Officer:**

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)
<input checked="" type="checkbox"/> Good Faith Estimate <input type="checkbox"/> Actual Amount	\$6,770	\$705	\$625	\$1,084 - meeting room expenses and conference fees

**Expenses for Accompanying Spouse or Dependent Child (if applicable)**

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)
<input checked="" type="checkbox"/> Good Faith Estimate	\$6,770	-0-	\$625	\$1,084-
<input type="checkbox"/> Actual Amount				meeting room expenses and conference fees

Provide a description of all meetings and events attended. See Senate Rule 35.2(c)(6). (Attach additional pages if necessary.): See attachments

I HAVE MADE A DETERMINATION THAT THE TRAVEL DESCRIBED ABOVE WAS IN CONNECTION WITH MY DUTIES AS AN OFFICEHOLDER, AND DID NOT CREATE THE APPEARANCE THAT I WAS USING PUBLIC OFFICE FOR PRIVATE GAIN.

10/24/17  
(Date)

*Diana Dandini*  
(Signature of Senator/Officer)

## PRIVATE SPONSOR TRAVEL CERTIFICATION FORM

This form must be completed by any private entity offering to provide travel or reimbursement for travel to Senate Members, officers, or employees (Senate Rule 35, clause 2). Each sponsor of a fact-finding trip must sign the completed form. The trip sponsor(s) must provide a copy of the completed form to each invited Senate traveler, who will then forward it to the Ethics Committee with any other required materials. The trip sponsor(s) should **NOT** submit the form directly to the Ethics Committee. Please consult the accompanying instructions for more detailed definitions and other key information.

The Senate Member, officer, or employee **MUST** also provide a copy of this form, along with the appropriate travel authorization and reimbursement form, to the Office of Public Records (OPR), Room 232 of the Hart Building, within thirty (30) days after the travel is completed.

1. Sponsor(s) of the trip (please list all sponsors):  
The Aspen Institute, Inc. (Congressional Program)
2. Description of the trip: An extensive look at "Energy for America: Opportunities, Challenges & Solutions" & changing U.S. energy resources, demands & lessons learned from Norwegian energy policy.
3. Dates of travel: August 10, 2017 - August 15, 2017
4. Place of travel: Oslo, Norway
5. Name and title of Senate invitees: Sen. Durbin and Mrs. Durbin; Sen. Carper and Mrs. Carper
6. I certify that the trip fits one of the following categories:  
☒ (A) The sponsor(s) are not registered lobbyists or agents of a foreign principal and do not retain or employ registered lobbyists or agents of a foreign principal and no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.  
- OR -  
☐ (B) The sponsor or sponsors are not registered lobbyists or agents of a foreign principal, but retain or employ one or more registered lobbyists or agents of a foreign principal and the trip meets the requirements of Senate Rule 35.2(a)(2)(A)(i) or (ii) (*see question 9*).
7. ☒ I certify that the trip will not be financed in any part by a registered lobbyist or agent of a foreign principal.  
- AND -  
☒ I certify that the sponsor or sponsors will not accept funds or in-kind contributions earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.
8. I certify that:  
☒ The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal except for *de minimis* lobbyist involvement.  
- AND -  
☒ The traveler will not be accompanied on the trip by a registered lobbyist or agent of a foreign principal except as provided for by Committee regulations relating to lobbyist accompaniment (*see question 9*).



9. **USE ONLY IF YOU CHECKED QUESTION 6(B)**

I *certify* that if the sponsor or sponsors retain or employ one or more registered lobbyists or agents of a foreign principal, one of the following scenarios applies:

☐ (A) The trip is for attendance or participation in a one-day event (exclusive of travel time and one overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip.

-OR-

☐ (B) The trip is for attendance or participation in a one-day event (exclusive of travel time and two overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip (see questions 6 and 10).

-OR-

☐ (C) The trip is being sponsored only by an organization or organizations designated under § 501(c)(3) of the Internal Revenue Code of 1986 and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.

10. **USE ONLY IF YOU CHECKED QUESTION 9(B)**

If the trip includes two overnight stays, please explain why the second night is practically required for Senate invitees to participate in the travel:

---

---

---

11. ☒ An itinerary for the trip is attached to this form. I *certify* that the attached itinerary is a detailed (hour-by-hour), complete, and final itinerary for the trip.

12. Briefly describe the role of each sponsor in organizing and conducting the trip:

The Aspen Institute Congressional Program (AICP) is the sole sponsor of this conference and developed the agenda, organized the travel, planned the conference, and invited the participants.

13. Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission:

Aspen Institute, Inc., is a non-profit organization, and its broad mission is conducting nonpartisan forums and the exchange of ideas, on issues impacting the United States and the world. The Aspen Institute Congressional Program's conference on energy in Oslo is for members of Congress to take an in-depth look at US energy policy, discussing issues and solutions and applicable lessons learned from Norway's energy policies.

14. Briefly describe each sponsor's prior history of sponsoring congressional trips:

The Aspen Institute Congressional Program has a 34-year history of conducting non-partisan education forums for members of Congress.

15. Briefly describe the educational activities performed by each sponsor (other than sponsoring congressional trips):

trips):

In addition to the conferences, AICP annually offers 25 breakfasts for members of Congress, 5 lunches for congressional staff members - each event offering a leading scholar on a key national or international issue. One congressional staff conference per year is offered on a key foreign or domestic issue.

**16. Total Expenses for Each Participant:**

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses
<input checked="" type="checkbox"/> Good Faith estimate  <input type="checkbox"/> Actual Amounts	\$5,000 for the senator  \$5,000 for the spouse	\$704 for the senator  \$0 for the spouse	\$625 for the senator, \$625 for the spouse  Meal expenses are \$125 per person per day	\$1,084 meeting room expenses & conf. fees for the senator  \$1,084 meeting room expenses & conf. fees for the spouse

17. State whether a) the trip involves an event that is arranged or organized *without regard* to congressional participation or b) the trip involves an event that is arranged or organized *specifically with regard* to congressional participation:

The conference is organized specifically with regard to congressional participation.

18. Reason for selecting the location of the event or trip

Norway is at the forefront of innovative energy strategies and meeting there facilitates participation of

Norwegian scholars and experts to provide an in-depth analysis of their energy policies.

19. Name and location of hotel or other lodging facility:

**Grand Hotel - Oslo, Norway**

20. Reason(s) for selecting hotel or other lodging facility:

The hotel offers conference services required including technology and meeting space. Facility security was a key factor including private meeting and dining rooms.

Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:

Lodging and meals are both under the published per diem rates for this time period.

22. Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary.

Roundtrip business class flights on a commercial airline carrier

23. ☒ I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities, alcohol, or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35).

24. List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event:

None

25. I hereby certify that the information contained herein is true, complete and correct. (You must include the completed signature block below for each travel sponsor.)

Signature of Travel Sponsor:

Name and Title: Dan Glickman, VP-Aspen Institute; Executive Director-Congressional Program

Name of Organization: The Aspen Institute, Inc. (Congressional Program)

Address: 1 Dupont Circle, NW, Suite 700, Washington, DC 20036

Telephone Number: 202-736-5859 (Lisa Jones)

Fax Number: NA

E-mail Address: lisa.jones@aspeninstitute.org

**Appendix to Private Sponsor Travel Certification Form**

**Aspen Institute Congressional Program – Energy Conference – Aug. 9-15, 2017**

**Question 1.** Sponsor(s) of the trip (please list all sponsors) – The Aspen Institute, Inc. (Congressional Program)

Aspen Institute is the sole sponsor of this energy conference. The organization has received general support and unrestricted funds from The Henry Luce Foundation, Democracy Fund foundation, Rockefeller Brothers Fund foundation, the John D. and Catherine T. MacArthur Foundation. These foundation funds were not earmarked for a specific trip.

**Question 14.** On occasion, other policy and public programs of the Aspen Institute sponsor trips that involve members of Congress or congressional staff.

**Question 15.** The Aspen Institute holds numerous educational activities, including conferences, roundtables, briefings and other nonpartisan forums on critical issues facing the U.S. and the world.

**Question 16.**

Daily breakdown of meeting room expenses and conference service fees for the total of \$1,084:

Aug. 11 - \$271

Aug. 12 - \$271

Aug. 13 - \$271

Aug. 14 - \$271

000000009134

[illegible]